



Controller

Company Overview

Luminex Trading & Analytics LLC is a block trading venue created by a consortium of leading investment management firms that seeks to lower trading costs, enhance the transparency of trading protocols, and deliver improved performance to clients. The firm's primary business objective is to manage Luminex as a block trading utility focused on compliance, trust, transparency, and integrity. This service is marketed to a wide array of institutional clients. Luminex is currently focusing its efforts on the trading of U.S. equity securities. As a startup company, Luminex is looking for professionals who thrive in a fast-paced entrepreneurial environment.

General areas of responsibility include acting as the Controller and potentially as the Financial and Operations Principal (FINOP) for Luminex. The candidate will provide executive support for and management of all finance support services.

Role and Key Responsibilities:

Financial Operations

Oversee and manage the Luminex Accounting Manager and all firm financial operations including:

- Overall P&L, revenue and expense, general ledger and balance sheet management and analysis including budgeting, planning, and forecasting; management of a full complement of financial and statistical reporting and analysis activities and processes, including but not limited to analysis of and reporting on client costs/profitability to the firm.
- Quarterly financial certification.
- Production of monthly financials.
- Supporting and working closely with firm's independent auditor for the firm's required annual financial audit.
- Supporting and working closely with the firm's outside accounting firm on tax management and filing.

- Coordination with relevant services providers on monthly FINRA FOCUS filings.
- Coordination with third-party payroll and health benefits administrator.
- Monthly close.
- Client and vendor billing.
- Cash management.
- Evaluation and maintenance of internal financial controls and policies.
- All reporting including the executive scorecard, business scorecard, periodic financial forecasting and ad hoc management reporting.

Strategy & Planning

- Apply a balanced financial, economic and strategic business perspective to support growth and profitability objectives including investment, expense management and pricing strategies.
- Participate in the discussion and planning of future phase strategy, including integration of product and technology roadmaps.
- Support the Luminex executive team in the managing of the end to end coordination, execution and completion of strategic and tactical planning processes.
- Provide timely, measurable key deliverables supporting current and future operating plans including growth, profitability, investment, operating margin targets, and other strategic and financial outcomes.
- Prepare materials, including plans and operating results, and present them to key constituents including the Luminex executive team and the Luminex Board of Directors.

Customer & Vendor Contact Management

- Provide evaluation of and recommendations for existing and future pricing policy and models.
- Provide significant support for the vendor contract management function including participating in vendor due diligence when needed, providing input on contract terms, tracking and planning for contract expirations, and exploring vendor alternatives as appropriate.
- Manage the tracking and monitoring of client usage of procured vendor products and produce regular and ad hoc client usage reporting.
- Help evaluate cost-effectiveness of all vendor agreements and as necessary explore alternatives that might better suit the firm's and our clients' needs.

- Work collaboratively with the firm’s primary technology development and support vendor on all relevant processes, including applicable Statements of Work, appropriate capitalizations, billing, etc.

Qualifications – Education, Experience & Skills

- Current FINRA FINOP License (Series 27) preferred.
- Candidate should have a minimum of 10 years of progressive and diverse financial management experience ideally in the financial services or technology industries.
- Bachelor’s degree in Accounting or Finance with MBA and/or CPA preferable.
- Broker Dealer experience preferable.
- Strong financial management orientation and robust analytical capabilities.
- Result driven producer with excellent project management, problem solving skills, and the ability to quickly and effectively pivot between existing and new priorities.
- Outstanding influencer, proven collaboration and communication skills, including well-developed writing skills.
- Superior team player with excellent management skills and the ability to create a positive, team driven work climate.
- Start-up experience highly desirable.

For more information please see our website at www.luminextrading.com

To apply please email careers@luminextrading.com